

# Algebraic Number Theory

- Number Fields - integrality, norm and trace, Dedekind Domains, ideal factorization and class group, lattices and Minkowski bound, Dirichlet's Unit Theorem
- Local Theory -  $p$ -adic numbers, completions, valuations and absolute values, extensions of valuations, Hensel's lemma, local and global fields, ramification of extensions
- Class Field Theory - adeles and ideles, statements of local and global class field theory, statement of Artin Reciprocity, statement of Chebotarev density

# Polynomial Irreducibility

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## Gauss's Lemma

$$\left. \begin{array}{l} \cdot f \in \mathbb{Z}[x] \text{ nonconstant} \\ \cdot f \text{ primitive in } \mathbb{Z}[x], \text{i.e.} \\ \quad \gcd(a_1, \dots, a_n) = 1 \end{array} \right\} \begin{array}{l} f \text{ irreducible in } \mathbb{Z}[x] \\ \Updownarrow \\ f \text{ irreducible in } \mathbb{Q}[x] \end{array}$$

## Root Theorem

$$\begin{aligned} f(x) &= x^n + a_{n-1}x^{n-1} + \dots + a_1x + a_0 \\ &= (x - \alpha_1) \cdots (x - \alpha_n) \\ &= x^n + \dots + (-1)^n \alpha_1 \cdots \alpha_n \end{aligned}$$

can extend to non  
monic w/  $\alpha = p/q$   
w/  $p \mid a_0$  and  $q \mid a_n$

If  $f$  has an integer root  $\alpha$ , then  $\alpha | a_0$ .

Example:  $f$  quadratic or cubic:  $f$  reducible  $\Leftrightarrow f$  has a root  
then check all divisors of  $a_0$ .

## Eisenstein's Criterion

$$\left. \begin{array}{l} f(x) = x^n + a_{n-1}x^{n-1} + \dots + a_1x + a_0 \\ \exists p \text{ s.t. } p \mid a_0, a_1, \dots, a_{n-1} \\ p^2 \nmid a_0 \end{array} \right\} \begin{array}{l} f \text{ irreducible} \\ \text{over } \mathbb{Q} \end{array}$$

can extend to  $\mathbb{Q}_p$   
or any int. domain in  
and some prime ideal.

## Cyclotomic Polynomial Trick

$$\Phi_p(x) = \frac{x^p - 1}{x - 1} \text{ irreducible} \Leftrightarrow \Phi_p(x+1) = \frac{(x+1)^p - 1}{x} \text{ irreducible}$$

and  $\frac{(x+1)^p - 1}{x} = \frac{x^p + (P)x^{p-1} + \dots + (P)x + 1 - 1}{x} = \underbrace{x^{p-1} + Px^{p-2} + \dots + P}_{\text{satisfies Eisenstein!}}$

# Galois Review

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$L/K$  Galois  $\iff$   $L/K$  normal and separable

↳ irred  $f \in K[x]$  no roots or all roots in  $L$

$$\iff |\text{Aut}(L/K)| = [L : K]$$

↳ no repeat roots &  
min poly of elts in  $L$ .  
true for  $K/\mathbb{Q}$ .

$\iff L$  is splitting field of (separable)  
polynomial in  $K[x]$ .

$$\text{Gal}(L/K) := \text{Aut}(L/K).$$

$\left\{ \begin{array}{c} M \\ | \\ L \\ | \\ K \end{array} \right.$

Then  $M/L$  always Galois (subgroup of  $\text{Gal}(M/K)$ )  
if  $\text{Gal}(M/K)$  is normal,  $L/K$  is Galois  
 $\text{Gal}(L/K) \cong \text{Gal}(M/K)/\text{Gal}(M/L)$ .

$$H \leq \text{Gal}(L/K) \xrightarrow{\text{Galois correspondence}} L^H = \{\alpha \in L : \sigma(\alpha) = \alpha \forall \sigma \in H\}$$

$$\text{Aut}(L/M) \leq \text{Gal}(L/K) \quad K \subseteq M \subseteq L$$

$\leftarrow$  subgroup fixing  $M$

## Structure Theorems

### Finitely Generated Abelian Groups

$G$  fin. gen. (or just finite)

$$G \cong \underbrace{\mathbb{Z}/n_1\mathbb{Z} \oplus \mathbb{Z}/n_2\mathbb{Z} \oplus \dots \oplus \mathbb{Z}/n_k\mathbb{Z}}_{\text{torsion}} \oplus \underbrace{\mathbb{Z}}_{\text{torsion-free}}$$

$r = \text{rank of } G$   
 may assume  $n_1 | n_2 | \dots | n_k$

### Finitely Generated Modules / PID or DD

$R$  a PID (or DD)

$M$  fin gen  $R$ -module

$$M \cong R/I_1 \oplus R/I_2 \oplus \dots \oplus R/I_k \oplus R^r$$

$I_j$  are nonzero ideals of  $R$

$r = \text{rank of } M$

### Units of Cyclic Groups

$$p \text{ odd } (\mathbb{Z}/p^k\mathbb{Z})^\times \cong \mathbb{Z}/(\varphi(p^k))\mathbb{Z} = \mathbb{Z}/p^{k-1}(p-1)\mathbb{Z}$$

$$p=2 \quad (\mathbb{Z}/2^k\mathbb{Z})^\times \cong \mathbb{Z}/2^{k-2}\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$$

$$n \quad (\mathbb{Z}/n\mathbb{Z})^\times = (\mathbb{Z}/p_1^{e_1}\mathbb{Z} \times \dots \times \mathbb{Z}/p_m^{e_m}\mathbb{Z})^\times \cong (\mathbb{Z}/p_1^{e_1}\mathbb{Z})^\times \times \dots \times (\mathbb{Z}/p_m^{e_m}\mathbb{Z})^\times$$

# Integrality

## Defns

$A \subset B$  rings

- $b \in B$  is integral over A if  $\exists f \in A[x]$  monic s.t.  $f(b) = b^n + a_{n-1}b^{n-1} + \dots + a_1b + a_0 = 0$ . ( $\deg f \geq 1$ )
- $B$  is integral over A if every  $b \in B$  is integral over A
- $\bar{A} = \{b \in B \mid b \text{ integral over } A\}$  (forms a ring!)
- $A$  is integrally closed if  $A = \bar{A}$  in  $\text{Frac}(A)$

## Facts

- UFD  $\Rightarrow$  Integrally closed
- PID  $\Rightarrow$  UFD

$$\left[ \begin{array}{l} \left( \frac{a}{b} \right)^n + \dots + a_1 \left( \frac{a}{b} \right) + a_0 = 0 \\ a^n + \dots + a_1 ab^{n-1} + a_0 b^n = 0 \\ a^n = b (\sim) \\ \text{but wma no primes divide } a \text{ and } b \\ \text{so } b \text{ a unit} \rightarrow a \in A \end{array} \right]$$

- $\begin{cases} A \text{ int closed in } K = \text{Frac}(A) \\ L/K \text{ fin field ext} \\ \therefore B = \text{int clos of } A \text{ in } L \end{cases}$
- $B$  is integrally closed.
- $b \in L$  integral/ $A \Leftrightarrow P_b(x) \in A[x]$

$$\boxed{\begin{array}{c} B \subseteq L \\ | \\ A \subseteq K \end{array}}$$

- Number Theory Set up:

integral closure of  $\mathbb{Z}$  in  $K$   $\rightarrow \mathcal{O}_K \subset K$

$$\mathbb{Z} \subset \mathbb{Q}$$

# Norm and Trace

Defn

$L/K$  field ext.

$$x \in L \rightsquigarrow T_x(\alpha) = x\alpha$$

view  $T_x$  as matrix op in  $K$ -vector space.

$$\boxed{\text{Tr}_{LK}(x) = \text{Tr}(T_x)}$$

$$\boxed{N_{LK}(x) = \det(T_x)}.$$

Alternatively:  $L/K$  separable (e.g.  $K/\mathbb{Q}$ )

with  $\sigma: L \rightarrow \overline{K}$  varying over  $K$ -embeddings

$$\boxed{\text{Tr}_{LK}(x) = \sum_{\sigma} \sigma x}$$

$$\boxed{N_{LK}(x) = \prod_{\sigma} \sigma x}$$

## Properties

- $\text{Tr}_{LK}(x+y) = \text{Tr}_{LK}(x) + \text{Tr}_{LK}(y)$
- $N_{LK}(xy) = N_{LK}(x)N_{LK}(y)$
- $M/L/K \Rightarrow \frac{N_{MK}}{\text{Tr}_{ML}} = N_{LK} \circ N_{ML}$

Thm  $O_K$  is a finitely generated  $\mathbb{Z}$ -module.

Pf  $(x_i, y_j) \mapsto \text{Tr}(x_i y_j)$  is nondegenerate bilinear pairing [use  $(\text{Tr}(x_i x_j))$  for a basis  $\{x_i\}$  and show  $\det \neq 0$ ]

so take a basis  $\alpha_1, \dots, \alpha_n \in O_K$  of  $K/\mathbb{Q}$ . The pairing gives a dual basis  $\alpha_1^*, \dots, \alpha_n^*$  of  $K/\mathbb{Q}$  with  $\text{Tr}(\alpha_i \alpha_j^*) = \delta_{ij}$ .

Take  $\beta \in O_K$  then  $\beta = y_1 \alpha_1^* + \dots + y_n \alpha_n^*$  and  $\text{Tr}(\alpha_i \beta) = y_i \in \mathbb{Z}$

so  $\beta \in \mathbb{Z} \alpha_1^* + \dots + \mathbb{Z} \alpha_n^*$  and since this is a  $K/\mathbb{Q}$  basis

$O_K$  is a finitely generated  $\mathbb{Z}$ -module (and also free.)

# Discriminant

## Defns

- L/K separable, basis  $\alpha_1, \dots, \alpha_n$ ,  $\sigma_i : L \rightarrow \bar{K}$  embeddings

$$\boxed{d(\alpha_1, \dots, \alpha_n) = \det((\sigma_i \alpha_j))^2}$$

- If basis  $1, \theta, \theta^2, \dots, \theta^{n-1}$

$$d(1, \theta, \dots, \theta^{n-1}) = \prod_{i < j} (\theta_i - \theta_j)^2 = \prod_{i \neq j} (\theta_i - \theta_j)$$

where  $\theta_i = \sigma_i \theta$

by Vandermonde Matrix

$$\det \begin{pmatrix} 1 & \theta_1 & \cdots & \theta_1^{n-1} \\ 1 & \theta_2 & \cdots & \theta_2^{n-1} \\ \vdots & \vdots & \ddots & \vdots \\ 1 & \theta_n & \cdots & \theta_n^{n-1} \end{pmatrix}^2 = \prod_{i < j} (\theta_i - \theta_j)^2$$

PF:  $\det V$  is polynomial in  $\theta_i$ 's. Sub  $\theta_i$  for  $\theta_j$ , two equal row gives zero of  $\det$  so  $\theta_i - \theta_j$  is a root.  
Repeat for all  $i \neq j$ . Then compare ~~coeffs of~~ single term to get constant coeff of 1.

- $w_1, \dots, w_n$  is an integral basis of  $B$  over  $A$   
 if each  $b \in B$  can be written uniquely as  
 $b = a_1 w_1 + \dots + a_n w_n$  for  $a_i \in A$ . (makes  $B$  a free  $A$ -module)
- $K/\mathbb{Q}$  number field with integral basis  $w_i$  of  $\mathcal{O}_K/\mathbb{Z}$ ,

$$\boxed{d_K = \text{disc}(K/\mathbb{Q}) = d(w_1, \dots, w_n) = \det((\sigma_i w_j))^2}$$

is the discriminant of  $K/\mathbb{Q}$

## Discriminant (cont.)

$$f(x) = ax^2 + bx + c \quad \Delta_f = b^2 - 4ac$$

$$f(x) = x^3 + bx + c \quad \Delta_f = -4b^3 - 27c^2$$

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## Relationship to Disc (F)

$$DISC(f) = \prod_{i \neq j} (x_i - x_j) = \prod_{i < j} (x_i - x_j)^2 \text{ where } f(x) = \prod_{i=1}^n (x - x_i).$$

For  $\mathbb{Z}[\alpha]/\mathbb{Z}$  with min poly  $f(x)$  then

$$\text{Disc}(\mathbb{Z}[\alpha]/\mathbb{Z}) = \pm \text{Disc}(f)$$

and

$$\text{Disc}(f) = \pm \text{Disc}(\mathbb{Z}[\alpha]/\mathbb{Z}) = \pm (\mathbb{Q}_K : \mathbb{Q}[\alpha])^2 \text{Disc}(\mathbb{Q}_K/\mathbb{Q})$$

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$$|\text{Disc}(f)| = (\mathcal{O}_K : \mathbb{Z}[\alpha])^3 |\text{Disc}(K/\mathbb{Q})|$$

## General Discriminant

PID  $\rightarrow A \subset K$  basis, let  $n = [L : K]$  take all

$\rightarrow A \subset K$   
 If no integral basis, let  $n = [L : K]$  take all collections  $w_1, w_2, \dots, w_n \in \mathcal{O}_L$

$$\text{DISC}(L/K) = \left( d(w_1, \dots, w_n) \right)_{\{w_i \in S\}}$$

For any  $d_1, \dots, d_n$ ,  $\text{Disc}(L/K) | (d(\alpha_1, \dots, \alpha_n))$  [use to get bounds on the ideal]

## Special Case

Special Case  
 $K = \mathbb{Q}(\alpha)$  with min poly  $f(x)$  and  $O_K = \mathbb{Z}[\alpha]$

$$\text{disc}(K/\mathbb{Q}) = \pm \text{disc}(f) = \pm \prod_{i < j} (\alpha_i - \alpha_j)^2 = \pm N_{K/\mathbb{Q}}(f'(\alpha))$$

If  $f(x) = x^n - a$  then  $f'(x) = nx^{n-1}$  so  $\text{Disc}(K/\mathbb{Q}) = \pm N_{K/\mathbb{Q}}(f'(w\sqrt[n]{a})) = \pm n^* a^*$ .

# Dedekind Domains

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DefnA Dedekind Domain is

- Noetherian (ideals are fin gen'd).
- integrally closed integral domain
- nonzero primes are maximal

$$P_1 \setminus \{0\} \subset P_2 \subset \dots \subset P_k$$

Fact:  $\mathcal{O}_K$  for  $K/\mathbb{Q}$  is a dedekind domain $S \subset L$  R Dedekind domainFact:  $I \subset R \subset K$   $\Rightarrow S$  a dedekind domain  
(Example  $\mathcal{O}_L$  a dedekind domain)

(Hilbert's Basis Thm)

Non-Examples

- Noetherian
- integrally closed
- NOT 1-dim

 $K[x, y]$ - R Noeth  $\Rightarrow R[x_1, \dots, x_n]$  Noeth- R UFD  $\Rightarrow R[x_1, \dots, x_n]$  UFD $\Rightarrow R[x_1, \dots, x_n]$  integrally-  $(0) \not\subseteq (x) \not\subseteq (x, y)$ , prime, closed, non zero, not maximal

- NOT Noetherian

- integrally closed

- 1-dim

 $K[x^{1/2}, x^{1/4}, x^{1/8}, \dots]$  $\supseteq \mathcal{O}_K$  over  $\mathbb{Z}$  $(x^{1/2}) \not\subseteq (x^{1/4}) \not\subseteq \dots$  breaks ACC- int closure of  $\mathbb{Z} \Rightarrow$  int closedUnique Ideal Factorization(Fractional) Ideals have unique factorization  $a = \prod_p p^{v_p}$ .Pf Sketch:

exist  $M = \{a : a \text{ ideal w/o prime factorization}\}$   $\xrightarrow{\text{Noeth}}$  "maximal" ideal  $a \in M$   
then  $a \subseteq p$  and  $ap^{-1} \not\subseteq M$  so has factor

$$\rightarrow a = p \prod p^{v_p}$$

Unique same as integers,  $\prod p^{v_p} = \prod q^{v_q}$  then  $p \mid q$  for some  $q$ .  
 $pp^{-1} = \mathcal{O}$  cancels product. (both maximal so  $p = q$ )

# Ideal Class Group

## Defns

- A fractional ideal  $\tilde{a}$  is a fin gen'd  $\mathcal{O}$ -submodule of  $K$ . Equivalently, an  $\mathcal{O}$ -submodule of  $K$  with  $C \in \mathcal{O} (C \neq 0)$  s.t.  $C\tilde{a} \subseteq \mathcal{O}$ , is an ideal.
- The ideal group is  $J_K$ , set of all fractional ideals,  $(a)^{-1} = \tilde{a}'$  and identity  $(1)$ .  

$$ab = \left\{ \sum_i a_i b_i : a_i \in a, b_i \in b \right\}$$
- The ideal class group, let  $P_K$  = principal frac ideals  

$$Cl_K = J_K / P_K \quad h_K = |Cl_K|$$
 is the class number

Fact Class groups are finite

Pf: Minkowski Bound  $\Rightarrow \forall \epsilon > 0 \exists a \in \mathcal{O} \in J_K$  s.t.  $N(a) \leq M_K$ .  
 $N$  multiplicative so determine primes  $N(P) = P^f \leq M_K$ .  
only finitely many  $P^f \leq M_K$ , and fin many  $P$  over each  $P$ .

Example Nontrivial class group  $\mathbb{Q}(\sqrt{-5})$ ,  $Cl_K \cong \mathbb{Z}/2\mathbb{Z}$

$M_K = \frac{n!}{n^n} \left(\frac{4}{\pi}\right)^s \sqrt{|d_K|} < 3$  so only  $2^f \leq M_K$ ,  
check primes over 2  $\xrightarrow{\text{Dedekind Kummer}} \begin{cases} 2 \text{ ramifies} \\ \text{Discriminant } -20 \end{cases} \xrightarrow{\text{as } P^2}$ .  
so  $\{[1], [P]\}$  generate  $Cl_K$ , and  $[P]$  has order 2  
so  $Cl_K \cong \mathbb{Z}/2\mathbb{Z}$ .

# Lattice S

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## Defns

- A lattice in  $V$  ( $n$ -dim  $\mathbb{R}$ -vec. sp.) is a subgroup linearly independent  $v_i$   
 $\Gamma = \mathbb{Z}v_1 + \dots + \mathbb{Z}v_m$
- $v_1, \dots, v_m$  are a basis,  $\Gamma$  complete when  $m=n$
- The fundamental mesh/region  $\Phi = \left\{ \sum_{i=1}^m x_i v_i : x_i \in \mathbb{R}, 0 \leq x_i < 1 \right\}$
- Lattices are discrete subgroups, each  $y \in \Gamma$  has a nbhd in which  $U \cap \Gamma = \{y\}$ .  
 lattice  $\iff$  discrete for subgroups of  $V$ .
- the volume of  $\Gamma$  is  $\boxed{\text{vol}(\Gamma) = \text{vol}(\Phi) = |\det(\langle v_i, v_j \rangle)|^{1/2}}$
- $X \subseteq V$  is centrally symmetric if  $x \in X \implies -x \in X$   
convex if  $x, y \in X \implies \text{line connecting } x, y \in X$   
 $\{tx + (t-1)y : t \in [0, 1]\} \subseteq X$

## Examples

- $\mathbb{Z}[i] \subset \mathbb{C}$  is complete ( $n=2$ ),  
 $\text{vol}(\Gamma) = \text{vol}(\Phi) = \left| \det \begin{pmatrix} \langle 1, 1 \rangle & \langle 1, i \rangle \\ \langle i, 1 \rangle & \langle i, i \rangle \end{pmatrix} \right|^{1/2} = \left| \det \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \right|^{1/2} = 1$
- $\mathbb{Z} + \mathbb{Z}[\sqrt{2}] \subseteq \mathbb{R}$  is not a lattice (can get arbitrarily close)

## Minkowski Lattice Theorem

$\Gamma$  complete lattice in  $V$   
 $X \subseteq V$  centrally sym & convex

$\text{vol}(X) > 2^n \text{vol}(\Gamma) \Rightarrow \exists 0 \neq \gamma \in \Gamma \cap X.$

## Sharpness of Bound

$\Gamma = \mathbb{Z}[i]$     $X = (-1, 1) \times (-1, 1) \subseteq \mathbb{C}$   
 then  $\text{vol}(\Gamma) = 1$     $\text{vol}(X) = 4 = 2^2 \text{vol}(\Gamma)$   
 and  $\Gamma \cap X = \{0\}$ .

# Minkowski Bounds

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Set up  $K \longrightarrow \prod_{\tau} \mathbb{C}$  for  $\tau$ -embeddings  $K \hookrightarrow \overline{\mathbb{Q}}$ .

$a \mapsto (\tau a)_{\tau}$   $n$  dim R-vector space once restricted to  $\mathbb{R}$  for all real embeddings

$a$  ideal  $\xrightarrow{\text{(nonzero)}}$  complete lattice with volume  $\sqrt{|d_K|} (\mathcal{O}_K : a)$

$X = \{(z_{\tau}) : |z_{\tau}| < c_{\tau}\}$  for  $c_{\tau}$  s.t.  $\prod_{\tau} c_{\tau} > \left(\frac{2}{\pi}\right)^s \sqrt{|d_K|} (\mathcal{O}_K : a)$

Minkowski Lattice Thm  $\Rightarrow \exists \alpha \in a$ ,  $|N_{K/\mathbb{Q}}(\alpha)| < \prod_{\tau} c_{\tau} \leq M_K (\mathcal{O}_K : a)$ .  
Better space  $X = \{(z_{\tau}) : \sum |z_{\tau}| < t\}$  gives better bound.

## Minkowski Bound

Every nonzero ideal  $a$  has a nonzero element  $\alpha$  with

$$|N_{K/\mathbb{Q}}(\alpha)| \leq M_K (\mathcal{O}_K : a) = \frac{n!}{n^n} \left(\frac{4}{\pi}\right)^s \sqrt{|d_K|} (\mathcal{O}_K : a).$$

## Class Group Minkowski Bound

Every  $[\alpha] \in Cl_K$  was an ideal rep with  $\eta(\alpha) \leq M_K$ .

where  $\eta(\alpha) = (\mathcal{O}_K : a)$  and  $\eta(\beta) = p^f$   
with  $M_K = \frac{n!}{n^n} \left(\frac{4}{\pi}\right)^s \sqrt{|d_K|}$

## Pf Ideas:

Take any  $a \in [\alpha]$  and  $y \in \mathcal{O}_K$  s.t.  $y\bar{\alpha}^{-1} \subseteq \mathcal{O}_K$  is an ideal,  $b = y\bar{\alpha}^{-1}$ .

Minkowski Bound gives  $\alpha \in b$  s.t.  $|N(\alpha)| |\eta(b)|^{-1} \leq M_K$

$c = \alpha b^{-1} = \alpha y^{-1} a$  has  $\eta(c) = |N(\alpha)| |\eta(b)|^{-1} \leq M_K$

and  $\alpha, y \in \mathcal{O}_K$  so  $[c] = [\alpha]$ .

# Dirichlet's Unit Theorem

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## Theorem

$K/\mathbb{Q}$  number field

$\mathcal{O}_K$  ring of integers

$r$  # of real embeddings

$s$  # of complex embed pairs

$\mu(K)$  roots of unity in  $K$

$$\mathcal{O}_K^\times \cong \mu(K) \times \mathbb{Z}^{r+s-1}$$

Pf Sketch:  $\mathcal{O}_K^\times \rightarrow \{N(y) = \pm 1\} \rightarrow \text{Ker}(\text{Tr})$

$$\begin{array}{ccccc} \mathcal{O}_K^\times & \xrightarrow{\quad} & \{N(y) = \pm 1\} & \xrightarrow{\quad} & \text{Ker}(\text{Tr}) \\ \downarrow N & & \downarrow & & \downarrow \\ K^\times & \xrightarrow{\alpha \mapsto (\tau\alpha)_\tau} & \prod_\tau \mathbb{C}^\times & \xrightarrow{(\alpha)_\tau \mapsto (\log|\alpha|)_\tau} & \prod_\tau \mathbb{R}^+ \\ \downarrow N_{K/\mathbb{Q}} & & \downarrow N & & \downarrow \text{Tr} \\ \mathbb{Q}^\times & \xrightarrow{\quad} & \mathbb{R}^\times & \xrightarrow{z \mapsto \log|z|} & \mathbb{R} \end{array}$$

1)  $1 \rightarrow \mu(K) \rightarrow \mathcal{O}_K^\times \xrightarrow{\lambda} \lambda(\mathcal{O}_K^\times) = \Gamma \rightarrow 1$  exact.

$\mu(K) \subseteq \text{ker}(\lambda)$ :  $\{1 \mapsto \lambda(1) = (\log|\tau\cdot 1|)_\tau = (\log|1'|)_\tau = (\log|1|)\} = 0$ .

$\text{ker}(\lambda) \subseteq \mu(K)$ :  $\alpha \in \text{ker}(\lambda)$  means  $|\tau\alpha| = 1 \forall \tau$  embeddings (all conjugates)

If  $m = \deg f_K$  then only fin many poly with  $\deg \leq m$

and coefficients bounded by roots, so the set

$\{1, \alpha_1, \alpha_1^2, \dots\}$  is finite (all roots of such polynomials)

and so  $\alpha$  is a root of unity

2)  $\dim \text{Ker}(\text{Tr}) = r+s-1$  and  $\lambda(\mathcal{O}_K^\times) = \Gamma$  is a complete lattice in  $\text{Ker}(\text{Tr})$  so  $\Gamma \cong \mathbb{Z}^{r+s-1}$ .

## Example

$$K = \mathbb{Q}(\sqrt{2}) \quad r=2, s=0 \quad r+s-1 = 1$$

$$\mathcal{O}_K = \{1 + \sqrt{2}\mathbb{Z}\} \quad \mu(K) = \{\pm 1\}$$

$$\begin{aligned} \mathcal{O}_K^\times &= \pm (1 + \sqrt{2})^n \quad n \in \mathbb{Z} \\ &\cong \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z} \end{aligned}$$

# Quadratic Reciprocity

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Defn

- Given  $p$  odd prime  $\left(\frac{a}{p}\right) = \begin{cases} 1 & a \equiv \square \pmod{p} \\ -1 & a \not\equiv \square \pmod{p} \\ 0 & a \equiv 0 \pmod{p} \end{cases}$  ( $a \neq 0 \pmod{p}$ )

Legendre Symbol

$$\left(\frac{a}{p}\right) \equiv a^{\frac{p-1}{2}} \pmod{p}$$

$$\left(\frac{ab}{p}\right) = \left(\frac{a}{p}\right) \left(\frac{b}{p}\right)$$

## Quadratic Reciprocity

 $p, q$  distinct odd primes

$$\boxed{\left(\frac{p}{q}\right) \left(\frac{q}{p}\right) = (-1)^{\frac{p-1}{2} \frac{q-1}{2}}}$$

Pf Idea  $T_p = \sum_{k=1}^{p-1} \left(\frac{k}{p}\right) \zeta_p^k \in \mathbb{Q}(\zeta_p)$  Quadratic Gauss Sum  
 Express  $T_p^2$  two ways using <sup>①</sup> binomial theorem  
 and <sup>②</sup> Euler's Criterion of  $\left(\frac{k}{p}\right) = k^{\frac{p-1}{2}} \pmod{p}$ .

## Supplemental Laws

$$\boxed{\left(\frac{-1}{p}\right) = (-1)^{\frac{p-1}{2}}}$$

$$\boxed{\left(\frac{2}{p}\right) = (-1)^{\frac{p^2-1}{8}}}$$

# Extensions of Number Fields

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Set UP

$$\begin{array}{l} \mathcal{O}_L = L \\ | \\ \mathcal{O}_K = K \end{array}$$

finite

$p$  prime ideal of  $\mathcal{O}_K$   
 $p\mathcal{O}_L = q_1^{e_1} \cdots q_r^{e_r}$   
 factorization into primes in  $\mathcal{O}_L$

$q_i \cap \mathcal{O}_K = p$   
 $q_i$  lies over  $p$

$e_i$  is the ramification index

$f_i = [\mathcal{O}_L/q_i : \mathcal{O}_K/p]$  is the inertia degree

Thm  
 $(L/K$  separable)

$$[L:K] = n = \sum_{i=1}^r e_i f_i.$$

$\leftarrow$  fundamental identity

Pf:

By Chinese Remainder Thm

$$\mathcal{O}_L/p\mathcal{O}_L \cong \bigoplus_{i=1}^r \mathcal{O}_L/q_i^{e_i}.$$

$K = \mathcal{O}_K/p$  show

$$\dim_K(\mathcal{O}_L/p\mathcal{O}_L) = n$$

$\downarrow$   
 take basis and lift to  
 $L$ , show basis of  $L/K$ .

$$\begin{aligned} \dim_K(\mathcal{O}_L/q_i^{e_i}) &= e_i f_i \\ &= \sum_{v=0}^{e_i-1} \dim(q_i^v/q_i^{v+1}) \\ &= \sum_{v=0}^{e_i-1} \dim(\mathcal{O}_L/q_i) = e_i f_i \end{aligned}$$

Defns

- split completely means  $r = [L:K]$   $e_i = f_i = 1$   $p = q_1 \cdots q_n$ .
- ramified means some  $e_i > 1$ , totally ramified  $e = n$   $p = q$ .
- unramified means every  $e_i = 1$ ,  $p = q_1 \cdots q_r$ .

Thm  $p \in \mathbb{Q}$  ramifies in  $K \iff p \mid \text{DISC}(K/\mathbb{Q})$

Pf: In power basis case,  $d_K = \prod_{i,j} (\mathcal{O}_i \cdot \mathcal{O}_j)^2 = 0 \pmod{p} \iff \begin{cases} \mathcal{O}_i = \mathcal{O}_j \pmod{p} \\ \text{for some } i \neq j \end{cases} \iff p(x) \text{ repeat root} \iff p \text{ ramifies (Defn-K)}$

# Dedekind-Kummer Theorem

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## Basic Theorem

$K = \mathbb{Q}(\alpha)$  with  $\mathcal{O}_K = \mathbb{Z}[\alpha]$  and min poly  $f(x)$ .

$f(x)$  splits mod  $p$  the same as  $(p)$  splits in  $\mathcal{O}_K$

$\left[ \bar{f}(x) = f_1^{e_1}(x) \cdots f_r^{e_r}(x) \text{ then } q_i = (p)\mathcal{O}_K + f_i(\alpha)\mathcal{O}_K \right]$   
and the inertia degrees is the degree of  $f_i$ .

## PF Idea:

$$\mathcal{O}_K/(p) \cong \mathbb{F}_p[x]/(f(x)) \cong \bigoplus_{i=1}^r \mathbb{F}_p[x]/(f_i^{e_i}(x))$$

$\uparrow$                                      $\uparrow$   
 $\mathbb{Z}[x]/(f(x))/(p)$                             CRT

## Extension

Holds for other  $K$  as long as  $p \nmid \underbrace{[\mathcal{O}_K : \mathbb{Z}[\alpha]]}_{\text{conductor of } \mathbb{Z}[\alpha]}$ .

## Example

Q: How does  $(2)$  split in  $\mathbb{Q}(\sqrt{7})$ ?

A:  $\mathcal{O}_K = \mathbb{Z}[\sqrt{7}]$  since  $7 \equiv 3 \pmod{4}$

so  $f(x) = x^2 - 7 \equiv x^2 + 1 = (x+1)^2 \pmod{2}$

so  $(2) = p^2$  in  $\mathcal{O}_K$  for  $K = \mathbb{Q}(\sqrt{7})$ .

# Galois Extensions

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Set up

$$q_1^{e_1} \dots q_r^{e_r} \subseteq \mathcal{O}_L \subseteq L \quad | \text{ Galois}$$

$$P \subseteq \mathcal{O}_K \subseteq K \quad \text{so } e_i = e, f_i = f \quad [L:K] = ref.$$

$$G_L = \text{Gal}(L/K)$$

$G \cap \{q_1, \dots, q_r\}$  transitively

Defns

$$\bullet \text{ Given } q \in \mathcal{O}_L, \quad G_q = \{\sigma \in \text{Gal}(L/K) : \sigma(q) = q\}$$

is the decomposition group of  $q$ .

The subfield of  $L$  fixed by  $G_q$  is its decomposition field

• Take  $\sigma \in G_q$  then  $\sigma$  acts on  $\mathcal{O}_L/q$  and fixes  $\mathcal{O}_K/P$

so  $G_P \rightarrow \text{Gal}(\mathcal{O}_L/q / \mathcal{O}_K/P)$  surjective map.

The Kernel is  $I_q \subseteq G_q$  ( $I_q = \{\sigma : \sigma(\alpha) = \alpha \pmod{q} \quad \forall \alpha \in \mathcal{O}_L\}$ )  
the inertia subgroup of  $q$ .

Properties

$$q \in M \quad \left[ \begin{array}{l} G_q(M/L) = G_q(M/K) \cap \text{Gal}(M/L) \\ I_q(M/L) = I_q(M/K) \cap \text{Gal}(M/L) \end{array} \right]$$

$$P \in L \quad \left[ \begin{array}{l} G_P(L/K) = G_q(M/K) / \text{Gal}(M/L) \\ I_P(L/K) = I_q(M/K) / \text{Gal}(M/L) \end{array} \right]$$

$$P \in K \quad \text{Recall } \text{Gal}(L/K) \cong \text{Gal}(M/K) / \text{Gal}(M/L).$$

$$\text{If } K/\mathbb{Q}, \quad G_q/I_q \cong \text{Gal}(\mathcal{O}_K/q / F_p) = \text{cyclic}$$

$$G_{\sigma q} = \sigma G_q \sigma^{-1}$$

More generally, if  $L/K$

$$G_P(L/K) \cong \text{Gal}(L_P/K_P)$$

$$\begin{array}{c} e \text{ totally} \\ Q \text{ ramified} \\ P \text{ inert} \\ P \text{ split completely} \end{array} \quad \begin{array}{c} \mathcal{O}_L \\ L \\ \mathcal{O}_K \\ K \end{array} \quad \begin{array}{c} e \\ \mathcal{O}_L \\ \mathcal{O}_K \\ P \\ \mathcal{O}_P \\ P \end{array} \quad \begin{array}{c} L \\ \mathcal{O}_L \\ L \\ \mathcal{O}_K \\ \mathcal{O}_P \\ P \end{array} \quad \begin{array}{c} \text{Gal} = I_q \\ \mathcal{O}_L \\ \mathcal{O}_K \\ \mathcal{O}_P \\ \mathcal{O}_P \\ P \end{array}$$

$$\begin{cases} |I_q| = e \\ |G_q| = ef \end{cases}$$

# Quadratic Fields

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Set UPD a D-free integer ( $D \neq 0, 1$ ) $K = \mathbb{Q}(\sqrt{D})$ .

$$\mathcal{O}_K = \begin{cases} \mathbb{Z}\left[\frac{1+\sqrt{D}}{2}\right] & D \equiv 1 \pmod{4} \\ \mathbb{Z}[\sqrt{D}] & D \equiv 2, 3 \pmod{4} \end{cases}$$

$$d_K = \begin{cases} D & D \equiv 1 \pmod{4} \\ 4D & D \equiv 2, 3 \pmod{4} \end{cases}$$

Pf: Take  $\frac{a}{b} + \frac{c}{d}\sqrt{D} \in \mathbb{Q}(\sqrt{D})$  find minimal polynomial  
 modular conditions to determine if  $\frac{a}{b}, \frac{c}{d} \in \mathbb{Z}$  or  
 $b=d=2$  and  $a \equiv c \pmod{2}$ .  
 Then use integral basis to compute discriminant.

## Pell's Equation (Application)

 $1 = x^2 - y^2 n$  for  $n$  positive nonsquare integer.Take  $K = \mathbb{Q}(\sqrt{n})$ . Dirichlet's Unit Theoremsays  $\mathcal{O}_K^* = \mu(K) \times \mathbb{Z}^{n+1} = \mu(K) \times \mathbb{Z}$  so  $\exists \varepsilon \in \mathcal{O}_K^*$ with  $N(\varepsilon) = N(a+b\sqrt{n}) = (a+b\sqrt{n})(a-b\sqrt{n}) = a^2 - b^2 n = \pm 1$ .  
 If  $-1$ , taking even powers gives infinitely many solutions  
 to the Pell Equation. [If  $n \equiv 1 \pmod{4}$  may need higher  
 powers to clear denominator at  $1/2$ ].

# Cyclotomic Fields

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Defns

- $\zeta_n$  is a primitive  $n^{\text{th}}$  root of unity if  $\zeta_n^n = 1$  and  $\zeta_n$  generates all other roots ( $\zeta_n^k \text{ for } k=1, \dots, n$ )  $\zeta_n = e^{2\pi i / n}$
- $\Phi_n$  is the  $n^{\text{th}}$  cyclotomic polynomial, the minimal polynomial for  $\zeta_n$ .  $x^n - 1 = \prod_{d|n} \Phi_d$ .

$$\boxed{\Phi_p(x) = 1 + x + x^2 + \dots + x^{p-1}} \rightarrow = \frac{x^p - 1}{x - 1}$$

$$\deg \Phi_n = \varphi(n) \quad \text{pf by counting primitive roots.}$$

$$\varphi(n) = \#\{1 \leq d \leq n : \gcd(d, n) = 1\} \quad \boxed{\varphi(p^k) = p^{k-1}(p-1)}$$

Cyclotomic Fields

$$K = \mathbb{Q}(\zeta_n)$$

$$\mathcal{O}_K = \mathbb{Z}[\zeta_n]$$

$$\text{Gal}(K/\mathbb{Q}) \cong (\mathbb{Z}/n\mathbb{Z})^\times$$

$$p \mid \text{Disc}(K/\mathbb{Q}) \Rightarrow p \mid n$$

$$K = \mathbb{Q}(\zeta_p)$$

$$\mathcal{O}_K = \mathbb{Z}[\zeta_p]$$

$$\Phi_p = 1 + x + \dots + x^{p-1} = \frac{x^p - 1}{x - 1}$$

$$\text{Gal}(K/\mathbb{Q}) \cong (\mathbb{Z}/p\mathbb{Z})^\times \cong \mathbb{Z}/p-1\mathbb{Z}.$$

$$\text{Disc}(K/\mathbb{Q}) = p^l \quad \text{for some } l \in \mathbb{Z}^+$$

$$\mu(K) = \{\text{all } p^{\text{th}} \text{ roots of unity}\}$$

# p-adic Numbers

## Defns

- p-adic integer  $\alpha = \underbrace{a_0 + a_1 p + a_2 p^2 + \dots}_{n} = \sum_{n=0}^{\infty} a_n p^n \in \mathbb{Z}_p$   $a_i \in [0, 1, \dots, p-1]$   
 $\alpha \in \mathbb{Z}$  or  $\mathbb{Z}_{(p)} \rightarrow \mathbb{Z}_p$ . unique rep mod  $p^n$
- p-adic number  $\alpha = \underbrace{a_{-m} p^{-m} + \dots + a_1 p^{-1}}_{n=-m} + a_0 + a_1 p + \dots = \sum_{n=-m}^{\infty} a_n p^n \in \mathbb{Q}_p$   $a_i \in [0, 1, \dots, p-1]$   
 $\alpha = p^{-m} \beta$  for some  $\beta \in \mathbb{Z}_p$ .
- p-adic valuation  $v_p(a) = v_p(p^m \frac{b}{c}) = m$  where  $p \nmid b, c$   
 $|a|_p = p^{-v_p(a)}$

## Representations of $\mathbb{Z}_p$

	formal sums	projective limit	p-adic completion
$\mathbb{Z}_p$	$\alpha = \sum_{n=0}^{\infty} a_n p^n$ $a_i \in [0, 1, \dots, p-1]$	$\lim_{\leftarrow n} \mathbb{Z}/p^n \mathbb{Z} \simeq$ represent by residues mod $p^n$	$\{x \in \mathbb{Q}_p :  x _p \leq 1\}$
$\mathbb{Q}_p$	$\beta = p^{-m} \alpha$	field of frac of $\mathbb{Z}_p$	w/ $\mathbb{Q}_p$ completion of $\mathbb{Q}$ wrt p-adic val. completion of $\mathbb{Q}$

## Structure of $\mathbb{Z}_p$

- $\mathbb{Z}_p^* = \{x \in \mathbb{Z}_p : |x|_p = 1\}$
- $\mathbb{Q}_p^*$  unique reps by  $p^m u$   
w/  $m \in \mathbb{Z}$   $u \in \mathbb{Z}_p^*$
- $| \cdot |_p$  extends to  $\mathbb{Q}_p$  by  
 $x = \{x_n\}$   
 $|x|_p = \lim_{n \rightarrow \infty} |x_n|_p$   
and  $v_p(\mathbb{Q}_p) = \mathbb{Z} \cup \{0\}$
- max/prime ideal in  $\mathbb{Z}_p$   
 $p\mathbb{Z}_p = \{x \in \mathbb{Z}_p : |x|_p < 1\}$
- all ideals are  $p^n \mathbb{Z}_p$  for  $n \in \mathbb{N}$ .  
 $\mathbb{Z}_p/p^n \mathbb{Z}_p \simeq \mathbb{Z}/p^n \mathbb{Z}$ .
- $\mathbb{Q}_p$  is complete, meaning every Cauchy sequence (wrt  $| \cdot |_p$ ) converges to a limit in  $\mathbb{Q}_p$ .

# Valuations

1AO

## Multiplicative Valuations (Absolute Values)

$$|\cdot|: K \rightarrow \mathbb{R}$$

$$(i) |x| \geq 0, |x|=0 \iff x=0$$

$$(ii) |xy| = |x||y|$$

$$(iii) |x+y| \leq |x| + |y| \quad \begin{matrix} \text{Triangle} \\ \text{Inequality} \end{matrix}$$

$$|\cdot|_1 \sim |\cdot|_2 \iff \exists s \in \mathbb{R}^+ \text{ s.t. } |x|_1 = |x|_2^s \quad \forall x \in K.$$

$$|x| = q^{-v(x)} \text{ for fixed } q > 1$$

### Defns

- $|\cdot|$  is nonarchimedean if  $|n|$  bounded for all  $n \in \mathbb{N}$  (e.g.  $|\cdot|_p$ )
- $|\cdot|$  is archimedean if  $|n|$  unbounded for  $n \in \mathbb{N}$  (e.g.  $|\cdot|_{\mathbb{R}}$ )
- Strong Triangle Inequality  $|x+y| \leq \max\{|x|, |y|\}$   
 $|x+y| = \max\{|x|, |y|\}$  when  $|x| \neq |y|$
- $v$  is discrete if  $v(K^*) = s\mathbb{Z}$  (admits smallest positive value  $s$ )
- $v$  is normalized if  $v(K^*) = \mathbb{Z}$  (smallest pos. value is 1)

### Facts

- $|\cdot|$  is nonarchimedean  $\iff$   $|\cdot|$  satisfies strong triangle inequality
- Valuations on  $\mathbb{Q}$  (upto equivalence) are  $|\cdot|_p$  for primes  $p$  and  $|\cdot|_{\infty}$ .
- Approximation Theorem (generalizes CRT)  
 $|\cdot|_1, |\cdot|_2, \dots, |\cdot|_n$  pairwise inequivalent on  $K$   
 $a_1, a_2, \dots, a_n \in K$ .  $\forall \epsilon > 0 \exists x \in K$  s.t.  $|x - a_i|_i < \epsilon \quad \forall i = 1, 2, \dots, n$ .
- Product Formula: for  $a \neq 0$   
PF:  $\frac{\prod_{\text{fin}} |a|}{\prod_{\infty} |a|} = \frac{N(a)}{N(a)} = 1$
- Additive Valuations  
(Exponential Valuations)
- $v: K \rightarrow \mathbb{R} \cup \{\infty\}$
- (i)  $v(x) = \infty \iff x = 0$
- (ii)  $v(xy) = v(x) + v(y)$
- (iii)  $v(x+y) \geq \min\{v(x), v(y)\}$
- $v_1 \sim v_2 \iff \exists s \in \mathbb{R}^+ \text{ s.t. } v_1 = sv_2$ .
- $v(x) = -\log|x| \quad (v(0)=\infty)$
- $\prod_p |a|_p = \prod_{\text{all places of } K} |a|_p$   
(in  $\mathbb{Q}$ ,  $|\cdot|$  and  $|\cdot|_p$  A prime)

# Completion S

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## Defns

- $(K, \|\cdot\|)$  is a complete valued field (wrt  $\|\cdot\|$ ) if every cauchy sequence (wrt  $d(x,y) = |x-y|$ ) converges to  $a \in K$ .
- Given  $K$  with absolute value  $\|\cdot\|$ , let  $R = \text{all cauchy sequences}$ , and  $M = \text{all nullsequences} (\rightarrow 0)$ , then the completion is  $\hat{K} = R/M$  w/  $K \rightarrow \hat{K}$  by  $a \mapsto (a, a, a, \dots)$ . and extend  $\|\cdot\|$  to  $\hat{K}$  by  $|\{x_n\}| = \lim_{n \rightarrow \infty} |x_n|$ .

## Facts

- $\hat{K}$  is complete wrt the extension of  $\|\cdot\|$ .
- completions are unique up to isomorphism
- Ostrowski's Theorem: the only complete fields wrt an archimedean valuation are  $\mathbb{R}$  and  $\mathbb{Q}$  (up to isomorphism).
- $K$  is complete wrt  $\|\cdot\|_K$ , and  $L/K$  a finite alg ext, then  $\|\cdot\|_K$  extends uniquely to  $\|\cdot\|_L = \sqrt[n]{|N_{LK}(\alpha)|_K}$ .

# Hensel's lemma

## Basic Theorem

If  $f \in \mathbb{Z}_p[x]$  and  $a_0 \in \mathbb{Z}/p\mathbb{Z}$  s.t.

$$\left. \begin{array}{l} f(a_0) = 0 \pmod{p} \\ f'(a_0) \not\equiv 0 \pmod{p} \end{array} \right\} \left. \begin{array}{l} \exists \alpha \in \mathbb{Z}_p \text{ unique lift} \\ \text{of } a_0 \quad (\alpha \equiv a_0 \pmod{p}) \\ \text{s.t. } f(\alpha) = 0 \text{ in } \mathbb{Z}_p. \end{array} \right.$$

Pf Idea: Newton's Method

$$f'(a_0) = \frac{f(a_0) - f(a_1)}{a_0 - a_1} \approx \frac{f(a_0)}{a_0 - a_1} \rightarrow a_1 = a_0 - \underbrace{\frac{f(a_0)}{f'(a_0)}}_{\neq 0 \text{ so invertible.}}$$

Iterate and define  $\alpha = \lim_{n \rightarrow \infty} a_n$ .

## Generalizations

- $f \in \mathbb{Z}_p[x]$   $a_0 \in \mathbb{Z}/p\mathbb{Z}$  s.t.  $|f(a_0)|_p < |f'(a_0)|_p^2$   $\left\{ \begin{array}{l} \exists \alpha \in \mathbb{Z}_p \text{ unique lift} \\ \text{of } a_0 \quad (\alpha \equiv a_0 \pmod{p}) \\ \text{s.t. } f(\alpha) = 0 \text{ in } \mathbb{Z}_p \end{array} \right.$
- $f \in \mathbb{Z}_p[x]$ ,  $f \not\equiv 0 \pmod{p}$   $\bar{f}(x) = \bar{g}(x)\bar{h}(x)$  in  $\mathbb{Z}/p\mathbb{Z}[x]$  w/  $\bar{g}, \bar{h}$  relatively prime  $\left\{ \begin{array}{l} f = g \cdot h \in \mathbb{Z}_p[x] \text{ w/} \\ g = \bar{g} \pmod{p}, h = \bar{h} \pmod{p} \\ \deg(g) = \deg(\bar{g}), \deg(h) = \deg(\bar{h}). \end{array} \right.$

## Examples

- $\sqrt{7} \in \mathbb{Q}_3$  roots in  $\mathbb{Z}/3\mathbb{Z}$ , so each lifts to  $\alpha \in \mathbb{Q}_3^\times$  s.t.  $\alpha^2 = 7$ .  $f(x) = x^2 - 7 = x^2 - 1 = (x+1)(x-1)$  in  $\mathbb{Z}/3\mathbb{Z}$ .  $\pm 1$  distinct (i.e. simple).

- $\sqrt{5} \notin \mathbb{Q}_3$   $f(x) = x^2 - 5 \equiv x^2 + 1$  has no roots so no  $\alpha \in \mathbb{Z}_p$  w/  $\alpha^2 = 5$ . If  $\beta \in \mathbb{Q}_3$ , w/  $\beta^2 = 5$  then  $|\beta|_3^2 = |\beta|^2 = |5|_3 \leq 1$  so  $|\beta| \leq 1 \Rightarrow \beta \in \mathbb{Z}_p$

# Extensions of Valuations

$| \cdot |_w \quad L$  each embedding  $\gamma: L \hookrightarrow \bar{K}_v$   
 $| \cdot |_v \quad K$  gives a valuation  $|\alpha|_w = |\gamma\alpha|_v$ .  
 For  $K = \mathbb{Q}$ ,  $\bar{K}_v = \mathbb{C}, \bar{\mathbb{Q}_p}$ .

Two valuations are equivalent if  $\exists \sigma: \bar{K}_v \rightarrow \bar{K}_v$  such that  $\gamma = \sigma \circ \gamma'$ .

Theorem (Dedekind-Kummer-ish)

$L = K(\alpha)$  with min poly  $f(x) \in K[x]$ .

Valuations  $w_1, \dots, w_r$  extending  $v$  correspond to irreducible factors  $f_1, \dots, f_r$  in  $f(x) = f_1(x)^{m_1} \cdots f_r(x)^{m_r} \in K_v[x]$ .

Pf Idea Each root of  $f$  gives a valuation, but roots that are conjugate over  $K_v$  (same  $f_i(x)$  factor) give the same.

Fundamental Identity  $[L:K] = \sum_{w|v} [L_w:K_v] \stackrel{\substack{\text{if } v \text{ discrete} \\ (\text{e.g. } p\text{-adic})}}{=} \sum_{w|v} e_w f_w = \sum_{w|v} (w(L^+):v(K^+)) [L_w:K_v]$

Tame Ramification

$L/K$  with  $p = \text{char}(K/\mathbb{F}) = \text{char}(K)$

tamely ramified if  $(e, p) = 1$ .

"Tame Inertia is cyclic".  $I_q = \mathbb{Z}/e\mathbb{Z}$  when  $p \nmid e$ .

# Profinite & Topological Groups

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## Topological Groups

Defns

Group  $G$  with a topology  
s.t.  $(xy) \mapsto xy$  and  $x \mapsto x^{-1}$   
are continuous maps

Examples

- $\mathbb{R}$  (or  $\mathbb{R}^n$ ) w/ Euclidean topology under addition
- Any group  $G$  w/ discrete top.
- Galois Group  $\text{Gal}(L/K)$  with  $\sigma \in \text{Gal}(L/M)$  for fin  $M/K$  est basis of nbhds for  $\sigma \in \text{Gal}(L/K)$   
"Krull Topology"

Properties

- $H \cong gHg^{-1}$  (homeomorphic)  
i.e. remains open/closed
- open subgroups are closed  
 $H^c = \bigcup_{g \in H} gHg^{-1}$  = union of opens
- closed finite index subgroups are open  
 $H = (H^c)^c = \overline{\underbrace{(g_1H \cup \dots \cup g_nH)}_{\text{closed}}}$

## Profinite Groups

A topological group that is Hausdorff ( $\exists \cap$ ) and compact w/ a basis of nbhds of  $1 \in G$  that are normal subgroups.

- finite groups (w/ disc topology)
- $\mathbb{Z}_p = \varprojlim_n \mathbb{Z}/p^n \mathbb{Z}$  and  $\hat{\mathbb{Z}} = \varprojlim_n \mathbb{Z}/n \mathbb{Z}$
- $\text{Gal}(K^{\text{sep}}/K) = \varprojlim_{\substack{\text{fin} \\ K \in \text{sep}}} \text{Gal}(L/K)$

- $G$  profinite implies  $G \cong \varprojlim_N G/N$  over all fin. index open normal subgroups

- The profinite completion  $\hat{G} = \varprojlim_N G/N$  is profinite.
- Given system of  $G_i$ 's finite/profinite  $\varprojlim_i G_i$  is profinite  
(ex:  $\mathbb{Z}/n\mathbb{Z}$  or  $\mathbb{Z}/p^n \mathbb{Z}$ )

# Adeles & Ideles

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Defns  $K/\mathbb{Q}$  a number field

- The Adele ring (or adeles) is the restricted product

$$\mathbb{A}_K = \prod_P K_P \text{ w.r.t. } \mathcal{O}_P$$

P ↙  
 all places,  
 fin + infinite  
 of K

all but finitely many coordinates lie in  $\mathcal{O}_P$ ,  
the valuation ring of  $K_P$ .

- The ideles are the unit group of  $\mathbb{A}_K^\times$ , i.e.

$$I_K = \prod_P K_P^\times \text{ w.r.t. } \mathcal{O}_P^\times$$

- Since  $K \hookrightarrow K_P$  we define  $K^\times \hookrightarrow I_K$  by  $\alpha \mapsto (\alpha_P)_P$   
lies in the restricted product since  $\alpha_P \in \mathcal{O}_P^\times \iff P \mid \alpha_P^{-1}$  finite collection
- Then  $C_K := I_K/K^\times$  is the idele class group

Properties:

- $I_K \rightarrow C_K$  by  $(\alpha_P)_P \mapsto \prod_{P \text{ finite}} \alpha_P^{N_P(\alpha_P)}$  finite product by restricted product.

- $N_{LK}: C_L \rightarrow C_K$  for  $L|K$  where  $\alpha = (\alpha_P) \in I_L$  maps to

$$N_{LK}(\alpha) = \prod_P \left( \prod_{\beta \mid P} N_{L_P|K_P}(\alpha_P) \right)$$

This maps principal ideles to principal ideles (well-defined in  $C_L$ )

② composes in towers of extensions

③  $N_{LK}(\alpha) = \prod_{\sigma \in \text{Gal}(L/K)} \sigma \alpha$

and  $\alpha \in I_K$  then  $N_{LK}(\alpha) = \alpha^{[L:K]}$ .

# Structure Theorems for CFT

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- $\mathbb{Q}_P^\times = P^{\mathbb{Z}} \mathbb{Z}_P^\times \simeq P^{\mathbb{Z}} \times \mu_{p-1} \times 1 + P \mathbb{Z}_P \stackrel{\text{w/ addition}}{\simeq} \underbrace{\mathbb{Z} \times \mathbb{Z}/(p-1)\mathbb{Z}}_{\log(\cdot)} \times \overbrace{\mathbb{Z}_P}$
- $K^\times = \pi^{\mathbb{Z}} \mathcal{O}_K^\times = \pi^{\mathbb{Z}} \times \mu_{q-1} \times U^{(1)} = \pi^{\mathbb{Z}} \times \mu_{q-1} \times 1 + \pi \mathcal{O}_K$   
 $\simeq \mathbb{Z} \times \mathbb{Z}/(q-1)\mathbb{Z} \times \mathbb{Z}/(p^a \mathbb{Z}) \times \mathbb{Z}_P^{[K:\mathbb{Q}_p]} \quad (\text{for some } a)$
- $(\mathbb{Z}_P^\times)^2 \simeq 2 \left\{ \begin{array}{l} (\mathbb{Z}/(p-1)\mathbb{Z} \times \mathbb{Z}_P) \\ (\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}_2) \end{array} \right\} \simeq \begin{cases} 2\mathbb{Z}/(p-1)\mathbb{Z} \times \mathbb{Z}_P & p \text{ odd} \\ 1 \times 2\mathbb{Z}_2 & p=2 \end{cases}$   
so  $\mathbb{Z}_P^\times / (\mathbb{Z}_P^\times)^2 \simeq \begin{cases} \mathbb{Z}/2\mathbb{Z} & p \text{ odd} \\ \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z} & p=2 \end{cases}$
- $\frac{\text{connected component of 1 in } \mathcal{O}}{\mathbb{R}^+} \simeq \prod_P \mathbb{Z}_P^\times \quad (r \in \mathbb{R}^+ \mapsto (1, \dots, 1, r) \mathbb{Q}^\times \in \mathcal{O} = \prod_P (\mathbb{Q}_P^\times \times \mathbb{R}^\times / \mathbb{Q}^\times))$   
 $\prod_P \mathbb{Z}_P^\times \longrightarrow \mathcal{O}/\mathbb{R}^+ = (\prod_P (\mathbb{Q}_P^\times \times \mathbb{R}^\times / \mathbb{R}^+)) / \mathbb{Q}^\times$   
 $(z_P)_P \longmapsto (z'_P, \dots, z'_P, 1) \mathbb{Q}^\times$

injective:

$$(z_P, \dots, z_P, 1) \mathbb{Q}^\times = (z'_P, \dots, z'_P, 1) \mathbb{Q}^\times$$

means  $\exists \alpha \in \mathbb{Q}^\times$  s.t.  $z_P = \alpha z'_P \forall P$ ,  
and  ~~$\alpha > 0$~~   $\alpha > 0$  (so  $\alpha \rightarrow 1 \in \mathbb{C}^\times / \mathbb{R}^+$ ).  
In  $\mathbb{Z}_P^\times$ ,  $q = z_P/z'_P \in \mathbb{Z}_P^\times$  so no primes divide  $\alpha$ , and  $\alpha > 0$  so  $\alpha = 1$  and  
 $(z_P)_P = (z'_P)_P \in \prod_P \mathbb{Z}_P^\times$ .

surjective:

take  $(\alpha_P, \dots, \alpha_P, \pm 1) \mathbb{Q}^\times \in \mathcal{O}/\mathbb{R}^+$ .  
can assume  $\pm 1$  by scaling by  $\pm 1 \in \mathbb{Q}^\times$ .  
By restricted product, only fin many  
 $\alpha_P \in \mathbb{Q}_P^\times \setminus \mathbb{Z}_P^\times$ . Take  $q \in \mathbb{Q}^\times$  that  
puts  $q \alpha_P \in \mathbb{Z}_P^\times$  for those  $P$ .  
Then  $(q \alpha_P, \dots, q \alpha_P, 1) \mathbb{Q}^\times = (\alpha_P, \dots, \alpha_P, 1) \mathbb{Q}^\times$   
and  $(q \alpha_P)_P \in \prod_P \mathbb{Z}_P^\times \mapsto (\alpha_P, \dots, \alpha_P, 1) \mathbb{Q}^\times$ .

# Local Class Field Theory

$K$  a local field (e.g.  $K/\mathbb{Q}_p$ )

## Local Artin Map

$$\Theta_K: K^\times \longrightarrow \text{Gal}(K^{\text{ab}}/K) \quad (\Theta_K: \widehat{K^\times} \xrightarrow{\text{profinite completion}} \text{Gal}(K^{\text{ab}}/K))$$

$$K^\times = \pi^\mathbb{Z} \mathcal{O}_K^\times \simeq \mathbb{Z} \times \mathcal{O}_K^\times \quad \Theta_K(\mathcal{O}_K^\times) = \text{Gal}(K^{\text{ab}}/K^{\text{unr}})$$

$\max \text{ abelian ext} = \varprojlim_{\text{finite ext}} \text{Gal}(L/K)$

$= \text{Inertia subgroup}$

## Abelian Extensions

$L/K$  finite abelian extension

$$K^\times \longrightarrow \text{Gal}(K^{\text{ab}}/K) \xrightarrow{\text{res}} \text{Gal}(L/K)$$

induces  $\Theta_{LK}: K^\times / N_{LK}(L^\times) \xrightarrow{\sim} \text{Gal}(L/K)$

inclusion reversing:  $\left\{ \begin{array}{l} \text{fin abel} \\ L/K \end{array} \right\} \xleftarrow{L \mapsto N_{LK}(L^\times)} \left\{ \begin{array}{l} \text{fin.-index} \\ \text{open subgrps} \\ \text{of } K^\times \end{array} \right\} \xrightarrow{K^\times \cong \text{Gal}(LK)} N_{LK} = N_L \cap N_M$

$N_{LM} = N_L \cap N_M$

$N_{LNM} = N_L N_M$

$\Theta_{LK}(\mathcal{O}_K^\times) = I_{LK}$  inertia subgroup of  $\text{Gal}(LK)$ .

any  $\pi$  maps to a frobenius element of  $\text{Gal}(LK)$

## Functionality

$$\begin{array}{ccc} L^{\text{ab}} & & \\ \downarrow & \nearrow & \\ L & & K^{\text{ab}} \\ \downarrow & & \downarrow \\ \text{fin} & & K \end{array}$$

$L^\times \xrightarrow{\Theta_L} \text{Gal}(L^{\text{ab}}/L)$

$\boxed{N_{LK}}$  commutes

$\downarrow \text{res.}$

$K^\times \xrightarrow{\Theta_K} \text{Gal}(K^{\text{ab}}/K)$

## Uniqueness

- $\Phi_K: K^\times \rightarrow \text{Gal}(K^{\text{ab}}/K)$  is the unique group hom. s.t.
- (i)  $\forall L/K$  unramified,  $\pi$  lift of  $K$   $\Phi_K(\pi) \rightarrow \text{Frob } \in \text{Gal}(L/K)$
  - (ii)  $L/K$  fin abelian  $\ker(K^\times \rightarrow \text{Gal}(K^{\text{ab}}/K) \rightarrow \text{Gal}(L/K))$  isom.  $= N_{LK}(L^\times)$  mod  $\pi$
  - (iii)  $\Phi_{L/K}: K^\times / N_{LK}(L^\times) \xrightarrow{\sim} \text{Gal}(L/K)$ .

# Global Class Field Theory

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$K/\mathbb{Q}$  a number field

## Global Artin Map

$$\Theta_K: C_K = I_K/K^\times \longrightarrow \text{Gal}(K^{\text{ab}}/K)$$

- Kernel is connected component of  $1 \in C_K$ , and
- induces isomorphism  $\hat{\Theta}_K: \hat{C}_K \xrightarrow{\sim} \text{Gal}(K^{\text{ab}}/K)_{\text{profinite}}$

## Abelian Extensions

$L/K$  finite abelian extension

$$N_{L/K}: C_L \longrightarrow C_K \text{ by } (\alpha_p)_p L^\times \mapsto \left( \prod_{p|q} N_{L_p/K_p}(\alpha_p) \right)_q K^\times$$

$$C_K \longrightarrow \text{Gal}(K^{\text{ab}}/K) \xrightarrow{\text{res}} \text{Gal}(L/K)$$

$$\text{induces } \Theta_{L/K}: C_K / N_{L/K}(C_L) \xrightarrow{\sim} \text{Gal}(L/K)$$

inclusion reversing bijection:

$$\begin{array}{ccc} \{ \text{fin. abel.} \} & \xleftarrow{L \hookrightarrow N_{L/K}(C_L)} & \{ \text{fin. index open subgrps of } C_K \} \\ L/K & \longleftrightarrow & \{ \text{fin. index open subgrps of } C_K \} \\ \alpha \in N \xrightarrow{\text{Gal}(L/K) \cong N} & & \end{array}$$

$$\begin{aligned} D_p(L/K) &= \Theta_{L/K}(K_p^\times) \\ I_p(L/K) &= \Theta_{L/K}(\Theta_p^\times) \end{aligned}$$

## Functionality

$$\begin{array}{ccc} L^{\text{ab}} & & C_L \xrightarrow{\Theta_L} \text{Gal}(L^{\text{ab}}/L) \\ \downarrow \begin{matrix} \text{fin. abel.} \\ \text{commutes} \end{matrix} & & \downarrow \text{res.} \\ LK^{\text{ab}} & & \\ \downarrow \begin{matrix} \text{fin. abel.} \\ \text{commutes} \end{matrix} & & \\ K^{\text{ab}} & & C_K \xrightarrow{\Theta_K} \text{Gal}(K^{\text{ab}}/K) \end{array}$$

## Local-to-Global

- $K_v^\times \hookrightarrow C_K$  by  $\alpha \mapsto (1, \dots, 1, \alpha, 1, \dots) K^\times$
- $K_v^\times \xrightarrow{\Theta_{Kv}} \text{Gal}(K_v^{\text{ab}}/K_v)$
- $\Theta_K$  determines  $\Theta_{Kv}$  at places  $v$  and all  $\Theta_v$  determine  $\Theta_K$ .

$$\Theta_{Kv}(K_v^\times) = \text{Gal}(L_v/K_v) \cong G_p(L/K)$$

$$\begin{array}{c} \Theta_{Kv}(K_v^\times) \cong I_{L_v/K_v} \cong I_p(L/K) \\ \Theta_{4K}(\Theta_K^\times) = I_{Lw/Kv} \cong I_p(L/K) \end{array}$$

# Enumerating Quadratics (CFT)

To find quadratic extensions  $K/\mathbb{Q}$

$$\prod_p \mathbb{Z}_p^\times \cong \mathbb{C}\mathbb{Q}/\mathbb{R}^+ \xrightarrow{\sim} \text{Gal}(\mathbb{Q}^{ab}/\mathbb{Q}) \rightarrow \text{Gal}(K/\mathbb{Q}) = \mathbb{Z}/2\mathbb{Z}$$

Each  $\Theta: \prod_p \mathbb{Z}_p^\times \rightarrow \mathbb{Z}/2\mathbb{Z}$  defines an  $K/\mathbb{Q}$  ( $\deg=2$ ).

Note: Squares are in the kernel, and

$$\mathbb{Z}_p^\times / (\mathbb{Z}_p^\times)^2 \cong \begin{cases} \mathbb{Z}/2\mathbb{Z} & p \text{ odd} \\ \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z} & p=2 \end{cases}$$

Since only finitely many primes ramify, and  $\Theta(\mathbb{Z}_p^\times) = I_p$ , only finitely many  $\mathbb{Z}_p^\times$  have nontrivial image.

For each finite collection of primes, choose a

surjective map  $\mathbb{Z}_p^\times / (\mathbb{Z}_p^\times)^2 \rightarrow \mathbb{Z}/2\mathbb{Z}$ , which determines a quadratic extension  $K/\mathbb{Q}$  ramified at exactly those primes.

Note: 2 has more ramification options because it ramifies in 3 of the 4 extensions:  $\mathbb{Q}(\sqrt{D})$ ,  $\mathbb{Q}(\sqrt{-D})$ ,  $\mathbb{Q}(\sqrt{2D})$ ,  $\mathbb{Q}(\sqrt{-2D})$ .

# Chebotarev Density

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## Natural Density

$$M = \text{set of primes in } K \quad \eta(P) = \#\{\mathfrak{P}_K : P\}$$

$$\delta(M) = \lim_{x \rightarrow \infty} \frac{\#\{P \in M : \eta(P) \leq x\}}{\#\{P : \eta(P) \leq x\}}$$

Artin Symbols & Frobenius Elements

$P$  unramified in  $L|K$   $\rightarrow I_P = 1$  and  $D_P$  is cyclic  $\subseteq \text{Gal}(L|K)$ .  
 $D_\beta = \langle \varphi_\beta \rangle$  for Frobenius elt  $\varphi_\beta$   
(well defined for  $P$  up to conjugacy)

The Artin Symbol is  $(\frac{L|K}{P}) = \varphi_\beta$  [or  $(\frac{L|K}{P}) = \{\gamma \varphi_\beta \gamma^{-1}\}$ ]

Fix  $\sigma \in \text{Gal}(L|K)$

$$P_{L|K}(\sigma) = \left\{ \begin{array}{l} P \text{ prime in } K, \text{ unramified in } L|K \\ \text{w/ some } \beta | P \text{ s.t. } (\frac{L|K}{\beta}) = \sigma \end{array} \right\}$$

## Chebotarev Density Theorem

$L|K$  Galois w/  $G = \text{Gal}(L|K)$

fix some  $\sigma \in \text{Gal}(L|K)$

$$\delta(P_{L|K}(\sigma)) = \frac{\#\{\mathfrak{P} : \sigma \mid \mathfrak{P}\}}{\#\text{Gal}(L|K)}$$

Example:  $P$  splits completely  $\iff D_P = 1 \iff \varphi_\beta = 1 \forall \beta | P$   
so then  $\delta(\text{split completely}) = \delta(P_{L|K}(1)) = 1/\#G = 1/n$ .

Note: If  $L|K$  not Galois, let  $N$  be Galois closure, then

$P$  split completely in  $N \rightarrow P$  split completely in  $L$   
so  $\delta(\text{split in } L) \geq \delta(\text{split in } N) = 1/[N : K] > 0$ .

Application: If almost all  $P$  split completely, then  $L = K$ .  
almost all means  $\delta(\text{sp. comp.}) = 1$  but we also have  $\delta(\text{sp. comp.}) = 1/n$   
so then  $n = 1$ .

# Ray Class Groups

[3]

Defn

- modulus is formal product  $\prod_p P^{\mp p}$  (may include infinite places)
- $I_K^m$  restricts  $P^{+n}$  place to  $1 + P^{\mp p} = U_p^{(n)}$   
(for infinite places will be  $\mathbb{R}_+$  or  $\mathbb{C}^\times$ )
- ray class group is  $C_K^m = I_K^m K^\times / K^m$  and  
the ray class field  $K^m$  is an abel ext.  
s.s.  $C_K^m \cong \text{Gal}(K^m/K)$ .
- Hilbert Class Field is  $K^1$ , maximal unramified  
abelian extension, and in this case  
 $\text{Gal}(H/K) \cong C_K$

Facts:

- Every  $L|K$  (fin abel)  
(that is contained in some  $K^m$ )  
 $C_K^m \subset N_L = \ker \Phi_{LK}$
- Every  $\mathbb{Q}(\zeta_5)$  (not true for  $\mathbb{Q}$ )  
so  $\mathbb{Q}(\zeta_5)$  is contained in [Kronecker Weber Thm]

# Conductor

## Local:

$L/K$  local fields w/ max ideal  $P$  of  $K$ ,

$$\mathcal{U}_K^{(n)} = 1 + P^n \text{ (higher unit groups)}$$

conductor is smallest  $n$  such that

$$K^\times \xrightarrow{\phi_K} \text{Gal}(L/K) \text{ factors through,}$$

$$\rightarrow \mathcal{O}_K^\times / \mathcal{U}_K^{(n)}$$

that is  $\phi_K$  is trivial on  $\mathcal{U}_K^{(n)}$ , i.e.  $\mathcal{U}_K^{(n)} \subset N_L$ .

## Global

$L/K$  global fields, the conductor  $f(L/K)$  is gcd of all moduli  $m$  such that  $C_K^m \subset N_L = \ker \phi_{L/K}$

## Facts:

- $p$  ramifies  $\iff p \mid f(L/K)$

- $f(L/K) = \prod_P f_{LP/KP}$

## Example:

$$f(\mathbb{Q}(\sqrt{d})/\mathbb{Q}) = \begin{cases} |\text{Disc}(\mathbb{Q}(\sqrt{d})/\mathbb{Q})| & d > 0 \text{ (real)} \\ \infty / |\text{Disc}(\mathbb{Q}(\sqrt{d})/\mathbb{Q})| & d < 0 \text{ (complex)} \end{cases}$$

# Artin Reciprocity

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## Quadratic Reciprocity

$$\left(\frac{P}{q}\right)\left(\frac{q}{P}\right) = (-1)^{\frac{P-1}{2} \frac{q-1}{2}} \sim \text{Does } P \text{ split (completely)} \\ \text{in } \mathbb{Q}(\sqrt{q}) \text{ [or generally } \mathbb{Q}(\sqrt{D}) \text{]}?$$

Depends on modulo condition of  $P \pmod{4q}$  [or  $4D$ ]

"the primes that split completely in quadratic number fields are determined by a congruence condition modulo a value determined by the extension" disc K10

## Artin Reciprocity

"the primes that split completely in abelian extension  $K/\mathbb{Q}$  are determined by a congruence condition modulo a value determined by the extension"  $\hookrightarrow$  conductor  $K/\mathbb{Q}$

Artin  $\Rightarrow QR$ :

for  $K = \mathbb{Q}(\sqrt{D})$  the discriminant is (essentially) the conductor and so we recover the original result.

From CFT Statements:

$P$  split completely  $\iff$  trivial decomposition group  $D_p(K/\mathbb{Q})$   
 $\iff D_p(K/\mathbb{Q}) = D(K_{\mathfrak{P}}/\mathbb{Q}_p) = \Theta_{\mathfrak{Q}}(\mathbb{Z}_p^{\times}) = 1$   
 $\iff \Theta_{\mathfrak{Q}}(P) = 1$  (unramified)  
and  $\Theta_{\mathfrak{Q}}(\mathbb{Z}_p^{\times}) = 1 \leftarrow$  conductor condition